

# Compliance Direct

## December 2001



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# 1. Compliance Direct

The new Compliance Direct service provides regulatory information for UK businesses and their advisers.

Including...

- **Financial Services Authority** - including the FSA handbook, FSA materials
- **Rulebook Archive** - including SFA, Bank of England, SIB, PIA, PEP regulations, LSE, ASL, TSA, AFDL, IMRO, PIA adopted rules, LAUTRO, FIMBRA, USM
- **Butterworths Compliance Series** - including BCS: electronic markets, BCS: investigations & enforcement, BCS: managed funds, BCS: risk-based compliance
- **Corporate Finance** - including Bank of England Materials, FSA Listing Rules, City Code, rules of the LSE, guidelines and codes
- **FSMA and associated Statutory Instruments**

## 2. Opening Compliance Direct

To access the Compliance Direct opening screen, select Compliance Direct from the Butterworths Direct home page (single click).

[Compliance Direct](#)

The Compliance Direct FrontPage will appear

**Butterworths LexisNexis<sup>direct</sup>** compliance direct

Home | Butterworths services | compliance direct

financial services authority | rulebook archive | compliance manuals | regulatory materials | FSMA 2000 & SIs | contact us | sitemap | user guides

**financial services authority**  
PSA handbooks, PSA materials

**rulebook archive**  
SFA, Bank of England, SIB, PIA, DEP regulations, LSE, ASL, TSA, APDDL, IMRO, PIA adopted rules, LAUTRO, FIDORA, USM

**compliance manuals**  
BCS: electronic markets, BCS: investigations & enforcement, BCS: managed funds, BCS: risk-based compliance

**regulatory materials**  
Bank of England Materials, FSA listing rules, city code, rules of the LSE, guidelines & codes

**FSMA & SIs**  
FSMA 2000, SIs

**Welcome to Compliance Direct**

The new Compliance Direct service provides regulatory information for UK business and their advisers

**News**

- Press Release: Commission Welcomes Adoption of Two New Directives on Investment Funds (UCITS).
- Financial Services and Markets Act 2000 (Control of Transfers of Business Done at Lloyd's) Order 2001.
- George Hunt Cranes Ltd v Scottish Boiler and General Insurance Co Ltd (CA).
- H O'Donnell and Sons (Huddersfield) Ltd v HSBC Bank plc (formerly Midland Bank plc) (CA).
- Press Release: Launch of a New Independent Tribunal for a New Financial Regulatory Framework.

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### 3. Unique Features

#### 3.1 Navigation Bar

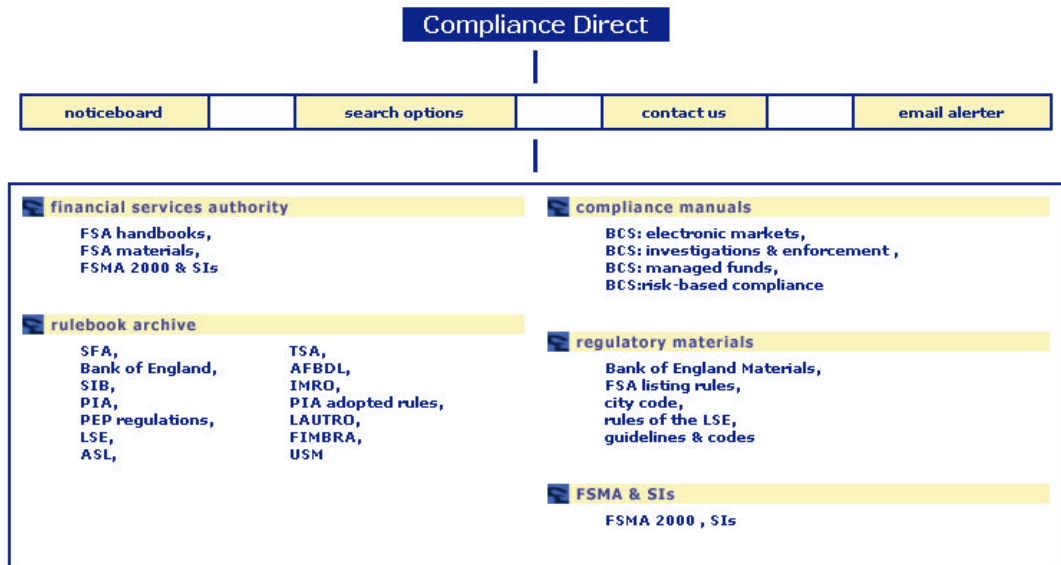
Once you have accessed Compliance Direct you will see the navigation bar at the top of the screen. In order to access a service within Compliance Direct left click once on the relevant heading.



Left Clicking once 'Home' from the Navigation Bar will link to the butterworths.com Home Page. Left Clicking once 'Compliance Direct' will return to the Compliance Direct FrontPage.

#### 3.2 Sitemap

Users may also navigate Compliance Direct by using the sitemap. The sitemap can be accessed from the navigation bar.



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The Compliance Direct sitemap is shown above. Areas can be accessed by left clicking on the required section.

## 4. Other Information

### 4.1 Editorial

If you have a query about the content of Compliance Direct, you can contact us by telephone or E-mail. Simply call the Butterworths Direct help line on 0845 3050500 and they will connect you to the appropriate person to deal with your query. Alternatively, you can E-mail us with any editorial queries or comments by using the '[contact us](#)' links that appear on the home page. We welcome feedback from our customers about Compliance Direct so please feel free to contact us.

### 4.2 Technical Help

Support for our on-line services available:

07.00 - Midnight Monday to Friday

08.00 – Midnight Saturday and Sunday by e-mail at:

[online.support@Butterworths.com](mailto:online.support@Butterworths.com)

[Tel: \(44\) 0845 608 1188](tel:(44)08456081188) [Fax: \(44\) 01483 257 917](tel:(44)01483257917)

<http://www.butterworths.com/content/support/techsupport.htm>

To assist the helpline in resolving your problems as quickly as possible please have the following information to hand before calling

What internet browser are you using?

What product do you subscribe to?

Do you have a network or a standalone PC?

If you are on a network what type is it? (ie. Novell NT etc)

Which version of Dos are you using

Which version of Windows are you using?

What was the sequence of events leading up to your problem?

### 4.3 Customer Services

Butterworths Direct Helpline is available from Monday to Friday 08.00 – 18.00

**Tel: 0208 662 2000**

For calls from outside the UK: (44) 207 400 2822



## **4.4 Training**

To find out more about our comprehensive training courses and to reserve a place.

**Tel: (44) 0207 400 2500**

**E-mail: [training@butterworths.com](mailto:training@butterworths.com)**